



## Transition Policy

### ISO 14001:2015 – Environmental Management System

#### ***NOTE: All audits in 2018 will be to ISO 14001:2015***

The following sets out the transition plan for both existing and potential ISO 14001 Clients.

Please refer to the NSAI website from time to time to review updates to this document. This document is controlled under the NSAI QMS for ease of reference as updates occur.

#### ***Upgrading to ISO 14001:2015?***

***It is important that you maintain your ISO 14001:2004 certified system until certification to ISO 14001:2015 is granted.***

#### **Milestones on the transition from ISO 14001:2004 to ISO 14001:2015**

- ISO/DIS 14001 - open for public comment: 28<sup>th</sup> August to 28<sup>th</sup> October 2014
- FDIS 14001 - final vote by Standards Bodies worldwide: Summer 2015
- ISO 14001:2015 – published on 15<sup>th</sup> September 2015

#### **Publication of ISO 14001:2015 15<sup>th</sup> September 2015**

- Three year transition period to 15<sup>th</sup> September 2018, also known as period of co-existence
- NSAI current working date for withdrawal of ISO 14001:2004 is 15<sup>th</sup> September 2018
- Certification only possible to ISO 14001:2015 after publication date
- Ongoing certification to the existing standard (ISO 14001:2004), reassessment and or surveillance, will take place during period of co-existence, but all ISO 14001:2004 certificates will have expiry dates of 15<sup>th</sup> September 2018 regardless of reassessment date.
- Clients are encouraged to upgrade at their existing reassessment cycle
- NSAI auditors will comment on application of ISO 14001:2015 during all audits to the existing standard from September 2015
- Non-conformance categories will remain unchanged in ISO 14001:2015



- Clients should maintain their existing Certification up to the end of the period of co-existence, assuming ongoing conformity, while attempting to upgrade.
- No claim of conformity to ISO 14001:2015 can be made until the appropriate Certificate is issued.
- The following sets out upgrade options:
  - Upgrade at reassessment in the normal three year cycle of certification. This option is the preferred one and is recommended to all Clients where possible. This audit will cover all aspects of both reassessment and upgrade including audit planning and commentary on performance over time.
  - Upgrade in one of the two surveillance years with additional time (typically the same audit days provided for a reassessment) to review changes to the standard. This may be done as a surveillance audit with additional time to review changes as one audit event, or, separated by no more than 3 months as a surveillance audit visit and then an audit review of upgrade changes as a separate visit – additional time as above. Certification will be within the current cycle starting from the last reassessment.
  - Special reassessment and upgrade audit in any one of the two surveillance years with additional time (typically the same audit days provided for a reassessment), resulting in a new three year certificate. This audit will cover all aspects of both reassessment and upgrade including audit planning and commentary on performance over time.
- Clients are required to fill in the dedicated questionnaire (AD-09-04) for the 2015 version of the standard in advance of the upgrade audit. This will be provided by your NSAI auditor.
- NSAI will cease quoting for new applications to ISO 14001:2004 and ISO 9001:2008 from 1<sup>st</sup> June 2017. NSAI will cease auditing new applications to ISO 14001:2004 and ISO 9001:2008 from 15<sup>th</sup> September 2017.

**To view presentations given at NSAI Roadshows on the revision to ISO 14001 please visit [www.n sai.ie/management-systems.aspx](http://www.n sai.ie/management-systems.aspx) and choose 'Environmental Management Systems ISO 14001' from the list**

**To purchase a copy of ISO 14001:2015 please refer to [www.standards.ie](http://www.standards.ie)**